

# BROKER TO BROKER TRANSFER AUTHORITY FORM - EQUITIES

Please complete this form if you wish to transfer securities from another Sponsoring Broker to Morrison Securities Pty Limited (**Morrison Securities**)

## 1. MORRISON SECURITIES ACCOUNT DETAILS

Account Number	<input style="width: 80%;" type="text"/>
Account Name	<input style="width: 80%;" type="text"/>
Account Designation	<input style="width: 80%;" type="text"/>

## 2. EXISTING SPONSORING BROKER ACCOUNT DETAILS

For your transfer to be successful, your registration details (i.e. your name and address) on this form must match the details on your account with Morrison Securities. If they do not match, you will need to advise your existing Sponsoring Broker of any name or address changes before we can process this transfer.

Account Name	<input style="width: 80%;" type="text"/>		
Account Designation	<input style="width: 80%;" type="text"/>		
Registered Address	<input style="width: 80%;" type="text"/>		
State	<input style="width: 95%;" type="text"/>	Post Code	<input style="width: 95%;" type="text"/>
Country	<input style="width: 80%;" type="text"/>		

## 3. EXISTING SPONSORING BROKER DETAILS

Broker Name	<input style="width: 95%;" type="text"/>	Existing Broker PID	<input style="width: 95%;" type="text"/>
Account Number	<input style="width: 95%;" type="text"/>	HIN at existing Broker	<input style="width: 95%;" type="text"/>

## TRANSFER INSTRUCTIONS

*Attach a separate sheet if additional securities are required to be transferred*

Please select one of the following options:

- Please transfer HIN (and all holdings) from existing broker to Morrison Securities (PID 1089); **or**
- Please transfer only the holdings listed below from existing broker to Morrison Securities (PID 1089)

ASX CODE	SECURITY NAME (E.g. Rio Tinto Limited)	QUANTITY <small>(No of Shares)</small>
<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>
<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>
<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>
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<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>	<input style="width: 90%;" type="text"/>

## AGREEMENT

*All account holders must sign*

**CHES Sponsorship:** Sponsor me/us into the CHES Settlement Facility in accordance with Morrison Securities CHES Sponsorship Agreement Terms and Conditions. I/we authorise Morrison Securities to transfer the existing HIN and all holdings or the above listed Holdings to my/our Morrison Securities account and we agree to be bound by Morrison Securities Sponsorship Agreement Terms and Conditions.

If the registration details that are being transferred to Morrison Securities do not match the details supplied on Morrison Securities Sponsorship Agreement, I hereby authorise Morrison Securities to amend the Registration details to match the Sponsorship Agreement. .

Original signature(s) only – digitally inserted signatures not accepted.

Individual / Director (1): Full Name	Signature	Date
<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
Individual / Director (2): Full Name	Signature	Date
<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
Individual / Director (3): Full Name	Signature	Date
<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>

\*ALL ACCOUNT HOLDERS MUST SIGN.. FOR COMPANY ACCOUNTS, TWO DIRECTORS OR A DIRECTOR AND A SECRETARY MUST SIGN. IF THE COMPANY HAS A SOLE DIRECTOR/SOLE SECRETARY, PLEASE INDICATE THIS AND THAT PERSON MAY SIGN ON THEIR OWN

Return completed form to [settlements@morrisonsecurities.com](mailto:settlements@morrisonsecurities.com)