

1. Client Details

Trading account number

Trading account name

2. Third Party Settlement

☐ Third Party Settlement (e.g. Margin Loan or WRAP Account). By selecting this option your share trading transactions will be settled through a Third Party Settlement provider.

Third party settlement provider (e.g. Margin Lender)

Third party settlement PID

Third party account number

Third party settlement account name

Third party contact person – if known

Third party contact number – if known

☐ Tick to cancel existing HIN. We cannot cancel until all holdings are removed, please ensure this has been completed before submitting this request.

3. Change/Add your Bank Account for Direct Debit/Credit

Complete the following details to change or add your bank account details for Direct Debit/Credit.
The nominated bank account name must be in the same entity name as your trading account.

Account name

BSB

Account number

If the linked bank account has one or more parties which are not the share trading account holders, you MUST provide identification with a signature and ensure they sign the declaration & signature/s section of this form.

4. Dividend Redirection Request

☐ Pay my dividends directly to my linked bank account.

This is not applicable for third party settlements, such as a Margin Loan. We will automatically send your BSB and Account Number to the share registries for all existing and new holdings each time you purchase securities on your CHESS Sponsored Share Trading Account with the Participant.

Not all companies or share registries support electronic payment of dividends or their direction. If you hold shares in one of these companies, you may still receive dividend cheques to your registered address.

5. Declaration & Signature/s

If you have requested a change to your nominated bank account for Direct Debit/Credit you declare the following:

I/We understand and acknowledge that:

- my/our financial institution has absolute discretion to decide the order in which it will pay moneys under this request, or any other request, authority or mandate;
- my/our financial institution has absolute discretion to refuse to honour this request at anytime;
- I/we may vary the amount or frequency of future debits to meet amounts payable under the Service;
- this request operates on the terms of the Direct Debit and Direct Credit Request Service Agreement contained in the Participant's Share Trading Terms and Conditions available from the trading site which I/we have read and agree to;
- I/We consent to the collection, use and exchange of my/our personal information as set out in the Customer Information and Privacy section of the Participant's Share Trading Terms and Conditions;
- the account details are correct and have been checked against a recent account statement from the nominated financial institution.

I/We authorise and request, the Participant (APCA ID. Direct Debit 093992, Direct Credit 093993) to arrange for funds to be debited from and credited to my/our account at the financial institution specified in this form and as prescribed below through the Bulk Electronic Clearing System (BECS).

This authorisation is to remain in force in accordance with the terms described in the Direct Debit and Direct Credit Request Service Agreement contained in the Participant's Share Trading Terms and Conditions available from the trading site.

I/We agree for the Participant to remit funds to my/our choice of nominated bank account.

I/We authorise and agree the following:

- The Participant to verify the details of the account recorded in this form with my/our financial institution;
- The financial institution to release information allowing the Participant to verify the account details mentioned in this form;
- To be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/We have read and agree to accept and abide by the terms of the Agreement, and have been supplied with, read and understood the written explanation of the implications of those terms (as applicable).

All authorised signatories must sign this form for your instructions to be executed.

I/We authorise you to act in accordance with my/our instructions.

Account Holder/Director/Secretary/Trustee 1

Full name

Date – DD/MM/YYYY

 / /

Signature – Must be signed pen to paper (with the exception of using DocuSign)

Account Holder/Director/Secretary/Trustee 2

– If applicable

Full name

Date – DD/MM/YYYY

 / /

Signature – Must be signed pen to paper (with the exception of using DocuSign)

 If there are more than 2 authorised signatories, please photocopy this page, complete and attach to the form.

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How to submit your documents

Clients

Please provide your completed and signed form with relevant supporting documents to your adviser.

Advisers

Lodge this form and all supporting documents
ausiex.com.au > Administration > eSubmit



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